



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Nicholas Paul MacMillan et al.

Serial No. 10/765,953

: Art Unit:

Filed: January 29, 2004

Examiner:

For: VALVES AND SUCTION

**CATHETER ASSEMBLIES** 

Atty Docket: 0119/0032

## INFORMATION DISCLOSURE STATEMENT FILED PURSUANT TO 37 CFR 1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

For insuring compliance with the applicants' duty of disclosure under 37 CFR §1.56, the undersigned hereby submits the attached Form PTO-1449 listing references for consideration by the Examiner in charge of the above-identified patent application. Pursuant to the PTO Waiver Notice dated July 11, 2003, U.S. patents and published applications listed on the PTO-1449 need not be attached to applications filed after June 30, 2003. Accordingly, no such documents as cited in the attached PTO-1449 form are attached. However, if the examiner requires copies any such documents, the examiner is respectfully requested to contact the undersigned. All foreign art and/or publications listed are attached herewith for the examiner's consideration.

These documents are being submitted (check only one of the next four boxes):

- within three months of the filing of the above U.S. national application or of the date of entry of the U.S. national stage in an International Patent Application (no fee is due); (37 CFR §1.97(b))
- [XX] before receiving a first Office Action on the merits of the aboveidentified patent application (no fee is due); (37 CFR §1.97(b))
- following receipt of a first Office Action, but before issuance of a Final Office Action or a Notice of Allowance (if this box is checked, one of the last three boxes also must be checked); (37 CFR §1.97(c))

## <u>OR</u>

- following receipt of a Notice of Allowance or a Final Office Action.
  The undersigned hereby requests consideration of this Information
  Disclosure Statement after the mailing the Final Office Action or
  Notice of Allowance by filing this Information Disclosure Statement
  under 37 CFR §1.97(d)
- The Commissioner is authorized to debit \$180.00 for payment of the fee set forth in 37 CFR § 1.17(p). (37 CFR §1.97(d)(2))
- [XX] The undersigned certifies that each item of this information is being submitted within three months of the date it was cited by the <a href="European Patent Office">European Patent Office</a> and the <a href="British Patent Office">British Patent Office</a> in counterpart applications. (37 CFR §1.97(e)(1))
- The undersigned certifies that, after making reasonable inquiry, he/she has no knowledge that any item of this information was cited by a foreign patent office in a counterpart application or was known more than three months prior to this submission. (37 CFR §1.97(e)(2))

The Commissioner is hereby authorized to charge payment of any deficiency in the above fee(s) or to charge any additional fees required under 37 CFR § 1.16 or 1.17 or credit any overpayment to Deposit Account No. 50-0501. A duplicate copy of this authorization is attached for the Finance Branch.

Respectfully submitted,

Louis Woo, Reg. No. 31,730

Law Offices of Louis Woo 717 North Fayette Street Alexandria, Virginia 22314 Phone: (703) 299-4090

FORM PTO-1449  INFORMATION DISCLOSURE CITATION
U.S. PATEN

Atty Docket 0119/0032	Serial No. 10/765,953				
Applicant Nicholas Paul MacMillan et al.					
Filing Date January 29, 2004	Group Art Unit 3763				

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		U.S. PATEN	T DOCUMENTS		T-**	
	Document Number	Date	Name	Class	Sub- Class	Filing Date
AA	4,430,373	02/07/1984	Bemis et al.			05/03/1982
AB	3,146,987	09/01/1964	Krayl			06/27/196
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AL	WO 99/58186	11/18/1999	PC			
AM	24 55 260	05/26/1976	DE			
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AO						
AP						
	OTHER (Inclu	ding Author, T	itle, Date, Pertinent Pag	es, etc.)		
AR					,	
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	AB AC AD AE AF AG AH AJ AK AN AO AP AR AS	Number  AA	Document Number       Date         AA       4,430,373       02/07/1984         AB       3,146,987       09/01/1964         AC       2002/0063230       05/30/2002         AD       3,456,679       07/22/1969         AE       5,297,776       03/29/1994         AF       5,269,768       12/14/1993         AG       4,121,619       10/24/1978         AH       5,277,177       01/11/1994         AI       AJ       Document Number       Date         AL       WO 99/58186       11/18/1999         AM       24 55 260       05/26/1976         AN       2 274 901       08/10/1994         AO       AP       OTHER (Including Author, T         AR       AS       AS	Document Number   Date   Name	Document Number   Date   Name   Class	Document Number   Date   Name   Class   Sub-Class

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609.

Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to Applicant.